

## Microstructural evolution of AA1070 Al alloy deformed by cold ECAP process from route C.

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**Abstract:** The study aimed to produce an alloy Al AA1070 with fine grains from a material with coarse particle size, by DPS applied by ECAP route C, and clarify and assess the mechanisms responsible for the microstructural evolution during this pressing process. Will be held 5 consecutive passes where the deformation accumulated is  $\epsilon_s = 5.95$ . The starting material is manufactured from a hot-rolling process, and has a coarse grain microstructure with a grain size of  $16 \pm 18 \mu\text{m}$ . Microstructural characterization was performed with the aid of scanning electron microscopy techniques (SEM) and electron backscatter diffraction was performed. The microstructural refinement was carried reaching a fine microstructure, there was a 80% reduction reaching a in achieving a grain size of 3 microns, and an increase of the fraction of high angle boundaries from 23% to 46%. From the results obtained in this study it is concluded that the mechanisms responsible for microstructural evolution were the subdivision processes through fragmentation and rotation grains dynamic recovery and recrystallization.

**Keywords:** Scanning electron microscopy; Pressing by equiangular channels; Aluminum alloy; Severe plastic deformation; angle boundaries; grain size; recrystallization; dynamic recovery.

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### I. INTRODUCTION

Severe plastic deformation (SPD) techniques have shown to be effective in obtaining materials with different properties, highlighting Equal channel angular pressing (ECAP) technique, an efficient way to obtain microstructural refinement of crystalline materials [1, 2].

This technique involves the passage of a billet by channel with constant cross-section perpendicular to one another. In the ECAP process, a simple shear deformation is applied to the material during its passage over the intersection of the channels, which promotes microstructural changes. This mechanical forming technique presents some particular technological advantages, such as the conservation of the original dimensions and chemical properties, regardless of the number of deformation passes carried out [2-4].

The ECAP may be conducted in four fundamental directions, named routes the A, BA, BC e C. Each route favors the activation of different slip systems, which produce significant differences in the microstructure of the processed material [3]. The difference between each ECAP route is about the rotations related to the direction of load application deformation of the material after each pass. In BA route the material undergoes alternating rotations of  $90^\circ$  to the direction of pressing in the clockwise and counterclockwise. In BC route the material undergoes after each pass, a  $90^\circ$  clockwise rotation. Finally, the route C, the material undergoes a rotation of  $180^\circ$  between passes. For this study was chosen Aluminium alloy AA1070, initially with a coarse microstructure with grain size of the order of  $16 \pm 18 \mu\text{m}$  and the fraction of low angle boundaries in 77%.

Study materials little investigated, such as AA1070 Al alloy may clarify some doubts about the microstructural evolution and the effects of ECAP processing in refining the microstructure by the different routes of the process. Tolaminyad and Dehghani [4] performed microstructural characterization and evaluated some mechanical properties of this alloy processed by ECAP via route BC. It was observed in his works two types of behavior. Between the first and the fourth pass, the microstructure evolved elongated subgrains to an array of fine and equiaxed grains; the fraction of high-angle boundaries and crystallographic difference grew rapidly until the fourth pass. Between the fourth and eighth pass, the growth rate was reduced and there was no significant change in grain size.

In this context, the investigation of Al alloy AA1070 was the systematic analysis of their behavior after deformation via ECAP over 5 passes using deforming route C with the aid of microstructural characterization techniques. Microstructural characterization of the material before and after undergoing DPS was performed by scanning electron microscopy and through the technique of electron backscatter diffraction.

## II. MATERIAL

The specimens used in this study are from Aluminium alloy AA1070, provided by Novelis Company of Brazil Ltda, whose chemical composition is shown in Table 1. The sheet was molded for making a plate with 610 mm thickness and then through hot rolling with 32 mm thickness, whose output temperature was estimated to be above 380 °C.

**Table 1.** Chemical composition of aluminum alloy AA1070 (contents expressed in % weight).

Mn	Mg	Si	Pb	Fe	Ti	Cu	Ga	Al
-	-	0,07	0,002	0,18	0,02	-	0,001	99,72

## III. EXPERIMENTAL

**ECAP Process.** In the ECAP process, aluminum billets of rectangular parallelepiped shape and dimensions of 70 x 10 x 10 mm were forced to flow through two channels of equal cross section and constant (10x10 mm) within a die. The channels were lubricated with calcium sulfonate. The route used in the processing was the C route, which is to turn the material in 180 ° between passes the deformation was performed in a total of 5 passes. The ECAP processing was performed in the Laboratory of Mechanical Tests at the Federal Fluminense University (EEIMVR/UFF) using the traction and compression universal machine EMIC DL-60, with a maximum load capacity of 600 kN; the material used in the die was a H13 steel-tool with two identical channels with 10x10 mm dimensions forming an angle 90 ° to each other; the material of the puncher was H13 tool-steel. The curvature radius of 5 mm at the intersection of the channels ( $\Psi \approx 37^\circ$ ) in order to facilitate the flow of the billet between the channels and reduce the press load. The deformation process occurred at a speed of 5 mm/min and after five passes accumulated a strain  $\epsilon_5 = 5.95$ . During processing, graphs strain-stress were generated in order to evaluate the mechanical behavior of the samples in passes of deformation.

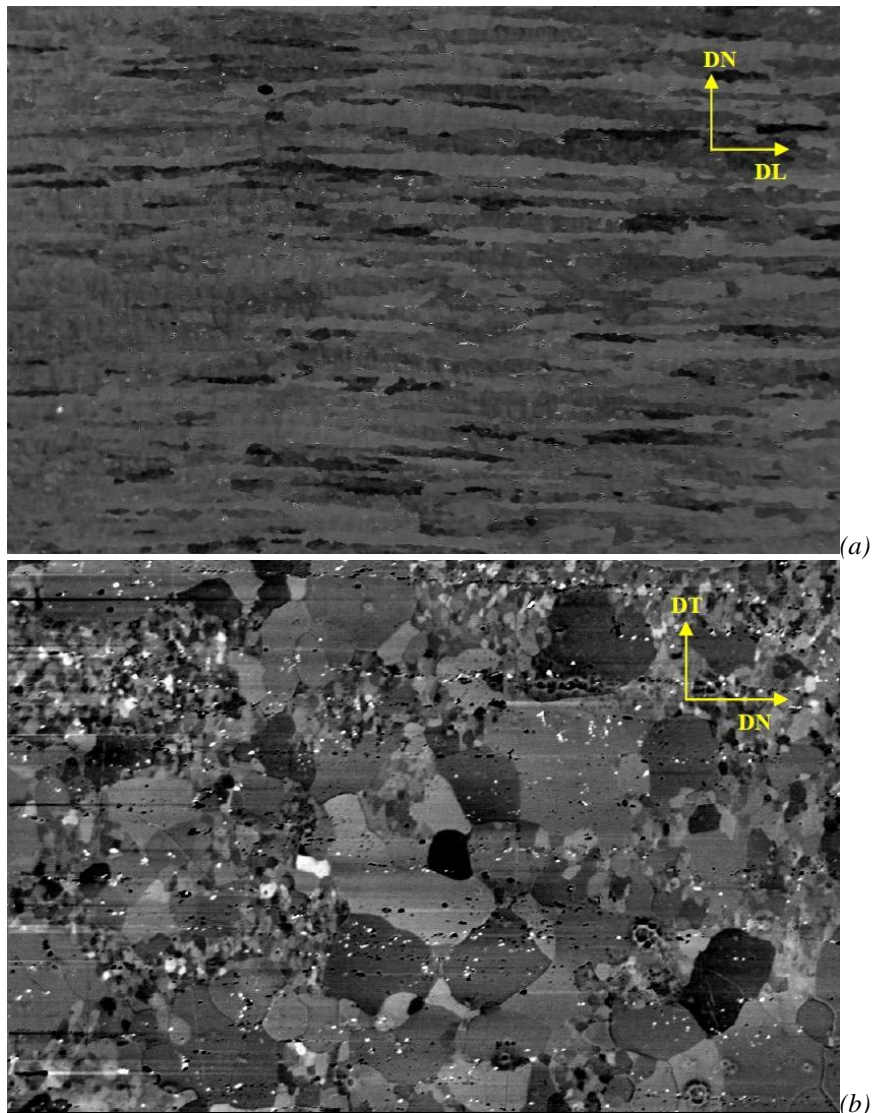
**Metallographic preparation.** The as-received material and deformed samples via ECAP, after each pass were cut into measures approximately 10x10x5 mm and subjected to microstructural analysis. After cutting, samples were ground with 120, 300, 400, 600, 800, 1200 grit sandpaper and then electrolytic polishing. The polishing was carried through two electrolytic cells in standard equipment, using a solution composed of 59 parts of CH<sub>4</sub>O (methanol), 35 parts of C<sub>2</sub>H<sub>4</sub>(OH)<sub>2</sub> (ethylene glycol) and 6 parts of HClO<sub>4</sub> (perchloric acid) (by volume). The Electric Potential Difference (EPD) of 8V was applied for 90 seconds at room temperature.

**Scanning electron microscopy – SEM.** The microstructure of the samples was observed and evaluated with the aid of a scanning electron microscope EVO MA10 Zeiss with LaB<sub>6</sub> filament that equipment is installed in multi-user Laboratory Electron Microscopy (LMME) at the Federal Fluminense University (EEIMVR/UFF).

**Electron backscatter diffraction-EBSD.** The grain size measurements and fraction of high and low angle boundaries were carried out with the aid of the technique of electron backscatter diffraction (EBSD) using the system Pegasus MX4i model and EDAX brand with high-speed camera Hiraki model, integrated with SEM. The area with dimensions 60x100 μm approximately of each sample was mapped according to the morphology of this substructure elements, with a scan step (step size) of 0.3 μm and magnification of 8000X. The information generated by these scans were integrated and processed by OIM analysis 5.3 software (EDAX).

## IV. RESULTS AND DISCUSSION

**As-received material.** The initial sample had a microstructure from the hot rolling process as shown in Figure 1. Analyzing the longitudinal section of the samples was found that the microstructure is formed by elongated and aligned grains to the rolling direction, Figure 1 (a). Grains with irregular morphology and size can be observed in the cross section, as well as substructures around the grains from secondary recrystallization, Figure 1 (b). These results are in agreement with studies of Oliveira [5] and Alvi and coworkers [6], in which were observed recrystallized microstructures and retrieved when analyzed commercially pure aluminum hot rolled.

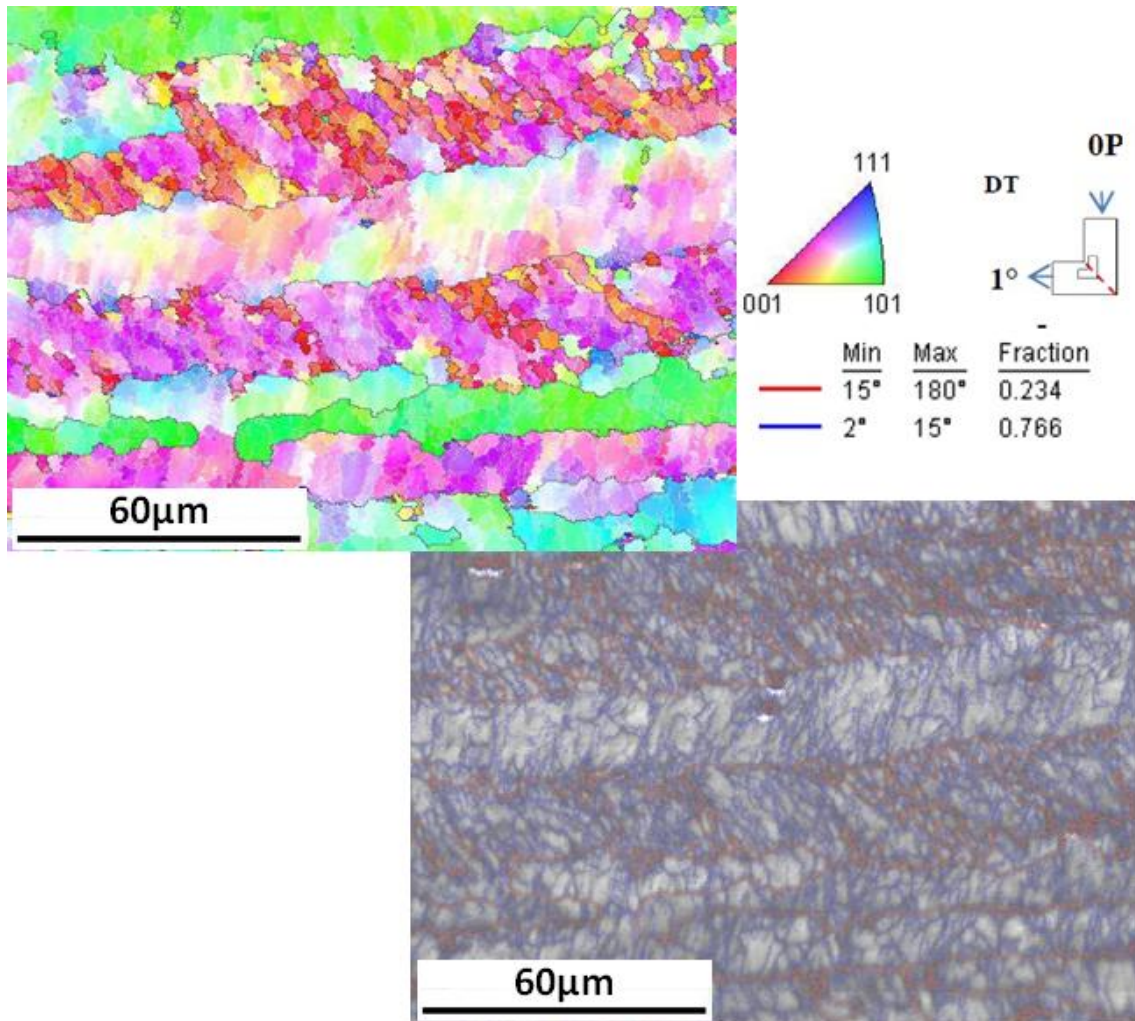


**Fig. 1:** Micrograph showing the microstructure of the as-received material: a) longitudinal section; b) cross-section. (SEM - electron backscattered Images 10 kV). DL Direction Longitudinal, DN - Normal and DT Direction - Transverse Direction. [7].

The incidence of low angle boundaries and high angle was 77% and 23% , respectively. The high fraction of low angle boundaries, indicating that the material has undergone dynamic recovery during the thermomechanical process. The average grain size was  $15.8 \pm 17.5$  micrometres obtained by linear intercept grain size method . The high standard deviation regarding the grain size, is due to the heterogeneity of the material with grain sizes were observed up to 2.5 times the average obtained. Alvi and colleagues [6] by computer simulation found that the grain size of commercially pure aluminum hot rolled can reach up to 3 times the mean value.

**Microstructural Characterization after processing by ECAP.**After processing by ECAP the microstructure of AA1070 alloy has changed considerably. This change was due to intense deformation to which the material is subjected during processing.

The quality map, Figure 2b shows intense substructure formation inside the grains. The fraction of low angle boundaries, Figure 2a corresponds to 68%, and high angle boundaries 32%. The substructure formation is explained by severe plastic deformation, which is generated in a high density of dislocations. Langdon [8], these dislocations rearrange and annihilate to form structures with the intention of minimizing energy. It was observed bands structure with  $45^\circ$  to the direction of pressing, measuring from 11-30 $\mu\text{m}$  wide. The formation of these deformation bands is probably the shear stress that acted on the material during deformation. It was concluded that these bands are regular bands, since they present parallel to each other.



**Fig. 2:** a) Orientation Map after the first pass, cross section; b) The quality map with outlined contours. (SEM – EBSD, 20 KV) [7].

In the first pass of ECAP processing was found a severe grain refinement, about 65% of refinement, reaching  $5.2 \pm 5.4 \mu\text{m}$  and a reduction in the fraction of low-angle boundaries to 68%. It is important to note that the first deformation pass is common to all routes applied by the ECAP. Iwahashi and his coworkers [9] to investigate the microstructural evolution of high purity aluminum during the ECAP via route C concluded that the grain boundary increases over the passes and that for high purity aluminum, an ideal considered fine microstructure can be obtained after four passes when processed via route C.

In the second pass route was observed again reducing the grain size, wherein the refining reached approximately 30% of reduction compared to the first pass ( $\pm 3.7 \pm 3.7 \mu\text{m}$ ). There was also a reduction in the fraction of low-angle boundaries. In the third pass processing grain size reached an average of  $3.4 \pm 3.4 \mu\text{m}$ , as in later passes the grain size values and a fraction low angle boundaries and remain virtually unchanged, as shown in Figure 3. The as-received material showed partially recrystallized, the third pass to deformation was sufficient to achieve maximum refining alloy approximately  $3.4 \mu\text{m}$  grain size and 43% of high angle boundaries. The black areas in Figure 3 are not indexed points during EBSD analysis, and were left to not mask the results.

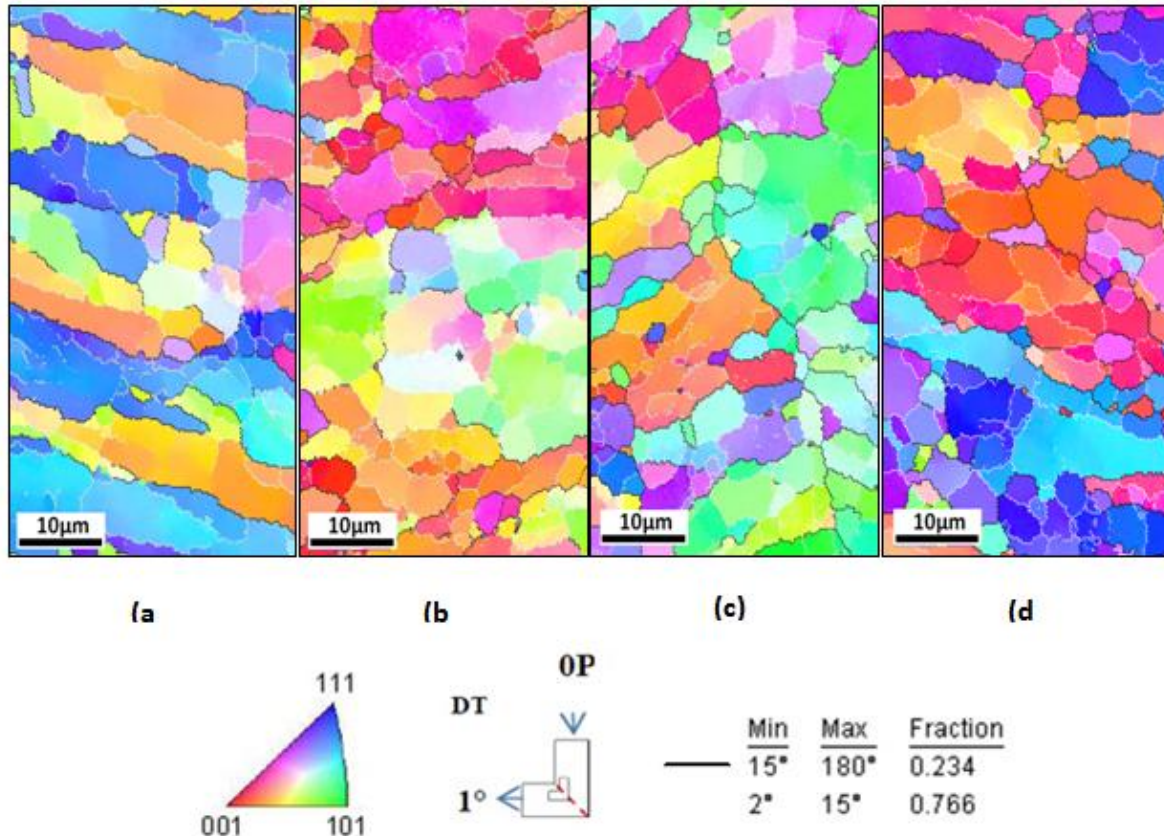


Fig. 3: Microstructural evolution, textural and nature of the contours. (A) Second Pass; (B) Third Pass; (C) Fourth Pass; (D) Fifth Pass. (SEM - EBSD, 20 kV).

Valiev and Langdon [10] suggests in his work that the main mechanisms responsible for the reduction of grain size occur from rotation and fragmentation of grains, according grain refinement model proposed by Langdon [11]. Analyzing the microstructure and comparing with the schematic illustration of grain refinement model proposed by Langdon [11] Figure 3a, similarity was observed, since the route C retain the elongated grains or subgrains due to the low angular range,  $\eta$ . In route C shear planes are consistently  $45^\circ$  to the direction of pressing, when viewed in cross section. The microstructure is found in accordance with the proposed Langdon [11].

Note that with the change of the grain morphology by the shear stresses present in the processing, the volume fraction of low-angle grain boundaries reached the level of approximately 50% and generated an equal proportion between grains and sub-grains in the microstructure with the application of 5° pass.

Table 2 shows the microstructural evolution promoted by 5 passes from the as received material. Figures 4 and 5 illustrate by means of graphs the data in Table 2, in which we observe respectively the stagnation of refining and development of high-angle boundaries process.

Table 2. Microstructural evolution during ECAP processing in fifth passes.

	grain size [ $\mu\text{m}$ ]	Standard deviation [ $\mu\text{m}$ ]	low angle boundaries [%]	high angle boundaries [%]
0P	15,7	17,5	73	23
1P	5,25	5,45	68	32
2P	3,67	3,6	59	41
3P	3,39	3,44	57	43
4P	3,36	3,23	62	38
5P	3,38	2,97	54	46

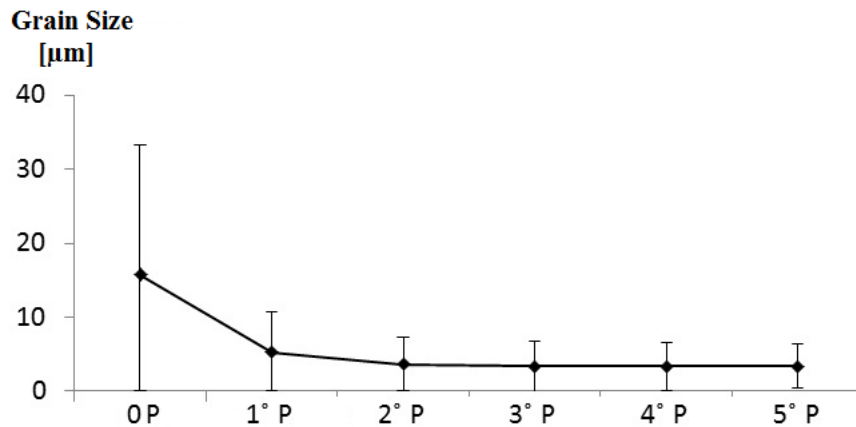


Fig.4: Grain refinement graph during ECAP process illustrating table data

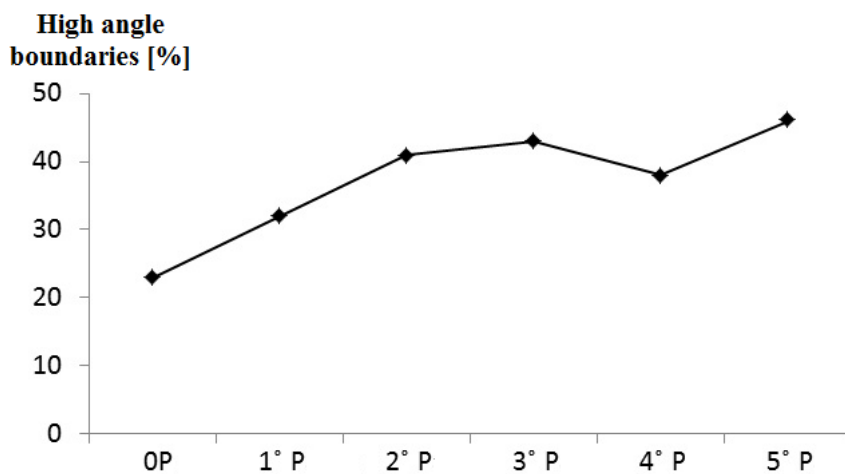
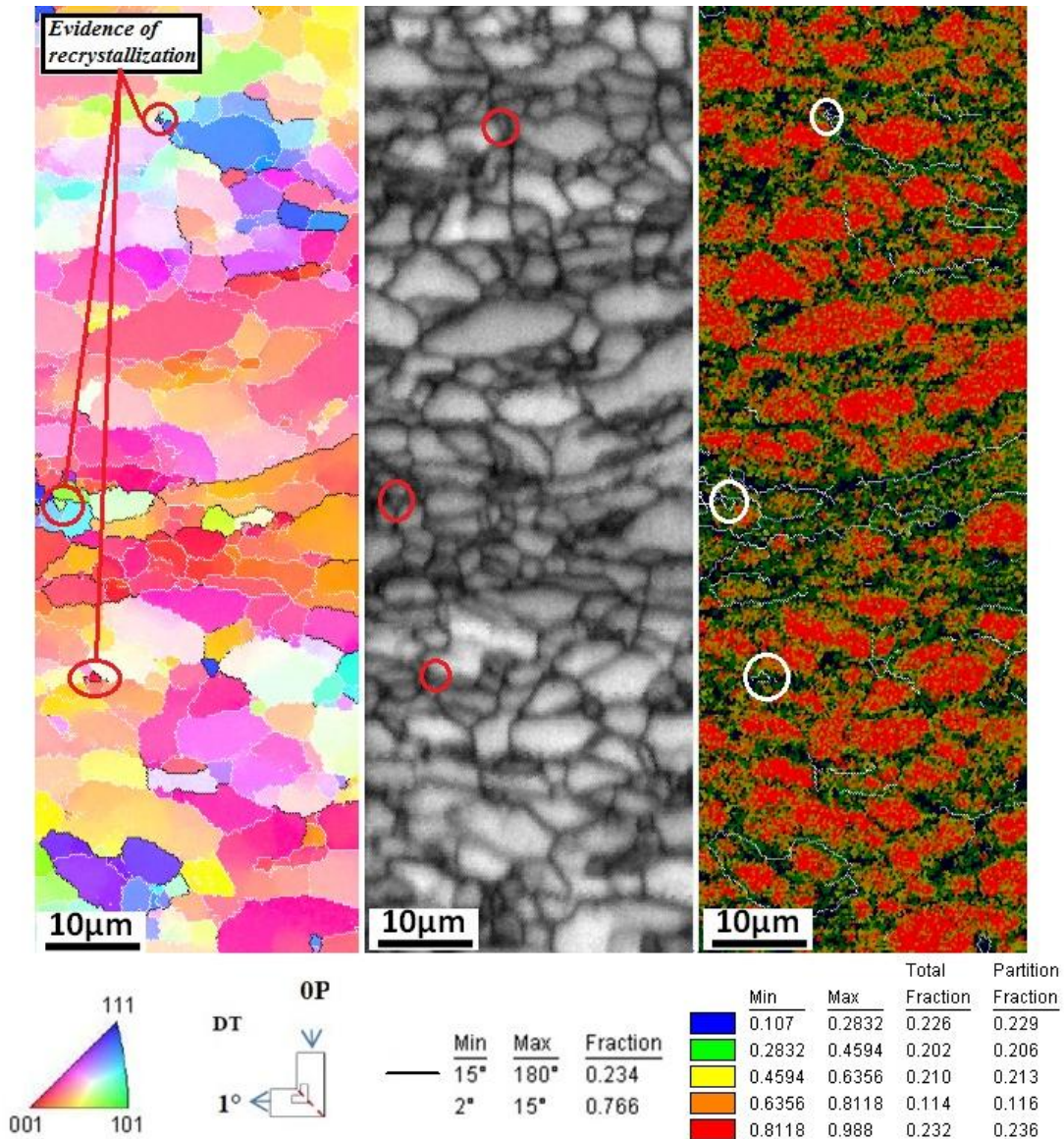


Fig.5: Evolution graph of the high angle boundaries during ECAP processing.

During the analysis of orientation maps, image quality and indexing indexes were observed strong evidence proving that the material underwent recrystallization and dynamic recovery during ECAP processing, which could explain the stagnation of microstructural refining after 3° Pass.

Figure 6, from a sample of the second pass, provides evidence of the existence of regions that underwent recovery and / or dynamic recrystallization. Where it can be seen in Figure 6b, 6c lighter areas, slightly misshapen with high quality content and indexing surrounded by darker areas of low level, suggesting dynamic recovery. They were also checked dynamic recrystallization signals, where there is a very fine grain appearance of 1.3µm order very different orientation of their neighborhood (highlighted in red in Figure 6a, with high levels of quality and indexing, located in contour deformed grains. Such findings were observed in all the following passes. Again it is worth noting that the black dots observed in orienting maps are not indexed points while scanning EBSD, and were left to not mask the analyzes.



Resende [7] and Haugem [12] studied aluminum alloys processed by ECAP presenting dynamic recrystallization of evidence through the evolution of the fraction of high-angle boundaries in the first four passes, this set of passes is classified as a first processing step ECAP [13].

## V. CONCLUSION

The microstructural refinement after processing via ECAP was performed efficiently, starting from a structure with coarser granulometry and achieving a fine microstructure. From the results obtained in this study, one can conclude that the mechanisms responsible for microstructural refinement were the subdivision processes through fragmentation and rotation of grains and dynamic recrystallization. It is also observed that the microstructural refinement process stalled after the third pass. This may be linked to the process of microstructural competition between recovery and dynamic recrystallization, since we observed evidence of this competition more often from the second pass. Soon, the ECAP process is shown to be effective only until the third pass processing for Al alloy AA1070, showing no significant changes in its microstructure beyond this pass due to the existing competition between recrystallization and dynamic recovery.

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